

<b>FORM PTO-1449</b> <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>LIST OF REFERENCES CITED BY APPLICANT</b>  <i>(Use several sheets if necessary)</i>	ATTORNEY DOCKET NO. 837.1975	APPLICATION NO. 09/994790
	FIRST NAMED INVENTOR Fumio FUTAMI, et al.	
	FILING DATE November 28, 2001	GROUP ART UNIT 2874

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
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### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
JDL	AG	JP 10078595A	3/24/98	Japan	G02F	2/02	Abst	
	AH							
	AI							
	AJ							
	AK							
	AL							

### OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

JDL	AM	Tomoyuki Akiyama et al., "Application of Spectral-Hole Burning in the Inhomogeneously Broadened Gain of Self-Assembled Quantum Dots to a Multiwavelength-Channel Nonlinear Optical Device", IEEE Photonics Technology Letters, Vol. 12, No. 10, pgs. 1301-1303, October 2000.
JDL	AN	T. Akiyama, "WDM Multi-Channel Nonlinear Device Utilizing Inhomogeneously-Broadened Gain of Self-Assembled Quantum Dots", 26 <sup>th</sup> European Conference on Optical Communication, September 3-7, 2000, Munich, Germany.
JDL	AO	M. Suzuki, et al., "Effect of Hole Pile-Up At Heterointerface on Modulation Voltage in GaInAsP Electroabsorption Modulators, Electronics Letters, 19 <sup>th</sup> January, 1989, Vol. 25, No. 2, pgs. 88-89.

EXAMINER John D. Lee	DATE CONSIDERED 03 DECEMBER 2003
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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<i>JDL</i>	AM	N. Edagawa, et al. "Novel Wavelength Converter Using An Electroabsorption Modulator", IEICE Transactions on Electronics, Vol. E81-C, No. 8, pgs.1251-1257, August 1998
	AN	
	AO	

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